

The GERDA Neutrinoless double beta decay experiment

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Workshop on Precision Measurements at Low Energy

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Paul Scherrer Institut, Villigen, Switzerland



Outline

- Introduction:
 - $0\nu\beta\beta$ and physics implications
 - Effective Majorana neutrino mass $\langle m \rangle$
 - Predictions on $\langle m \rangle$ from oscillation experiments
 - Sensitivity with and w/o backgrounds
- GERDA design
 - Concept
 - Sensitivities: Phase I, II, III
 - Locations at LNGS
 - Phase I detectors
 - Phase II detectors
 - Front-end electronics
 - Infrastructures: cryogenic tank, WT, clean room,..
 - Screening
- Examples of backgrounds and reduction techniques:
 - Detector segmentation
 - Liquid argon scintillation read out
- Conclusion/Outlook



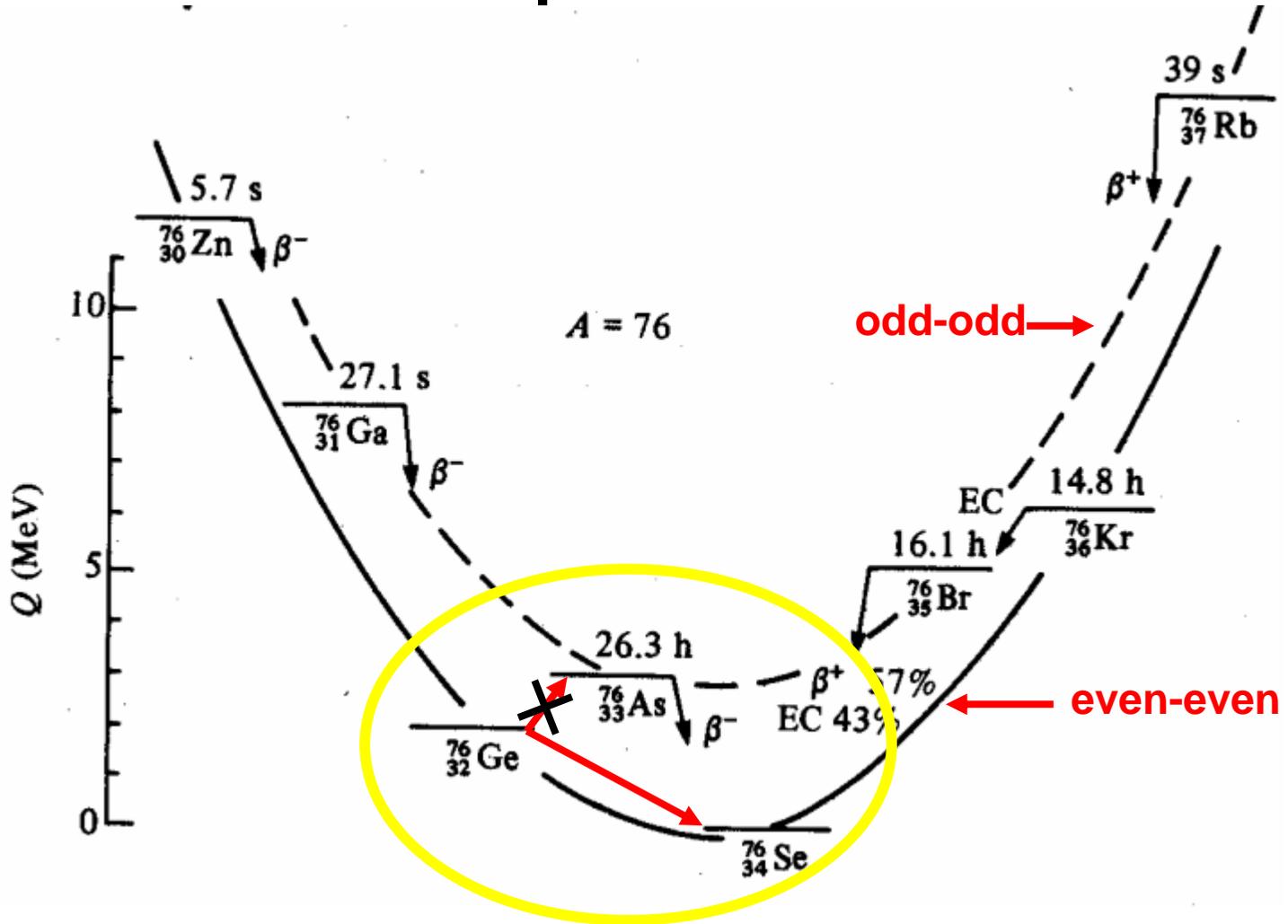
2ν - $\beta\beta$ Decay

Observed in more than 10 isotopes
Life times $10^{18} - 10^{21}$ years

A 3D model of a nucleus is centered on the page. It is composed of several overlapping spheres. There are approximately 10 spheres in total, arranged in a roughly spherical cluster. The spheres are colored in two colors: red and blue. The red spheres are interspersed among the blue spheres, representing protons and neutrons respectively. The spheres have a slight gradient and are outlined in black.



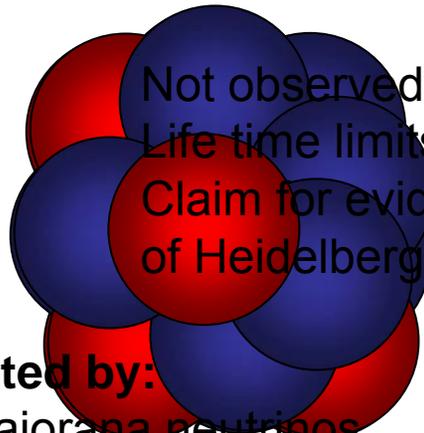
Mass parabolas



Ground states of even-even nuclei: 0^+



$0\nu\beta\beta$ Decay



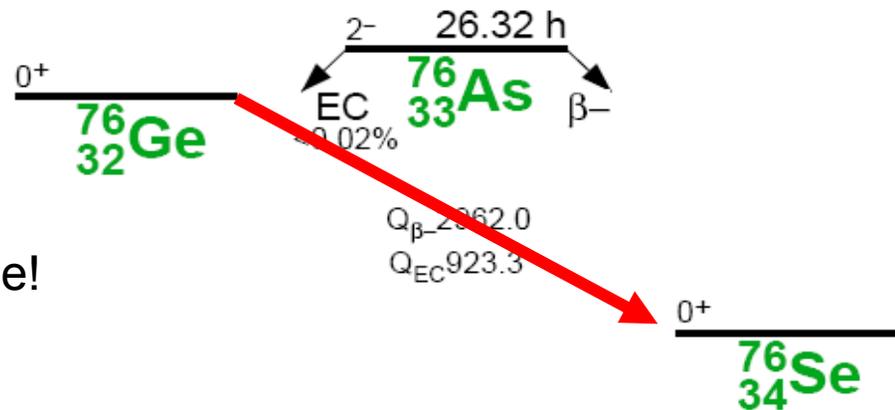
Not observed yet;
 Life time limits $> 10^{24} - 10^{25}$ y;
 Claim for evidence in Ge-76 by part
 of Heidelberg-Moscow Collab.

$0\nu\beta\beta$ can be generated by:

- exchange of light Majorana neutrinos
- SUSY
-

Schechter & Valle:

if $0\nu\beta\beta$ observed $\Rightarrow \nu$ is Majorana particle!





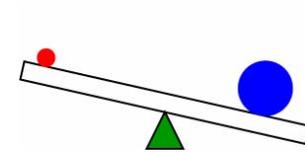
Physics motivations

1) Dirac vs. Majorana particle: (i.e. its own anti-particle)?

$0\nu\beta\beta \Rightarrow$ Majorana nature

Majorana \Rightarrow See-Saw mechanism

$$m_\nu = \frac{m_D^2}{M_R} \ll m_D$$



For $m_3 \sim (\Delta m_{\text{atm}}^2)^{1/2}$, $m_D \sim m_t \Rightarrow M_R \sim 10^{15} \text{ GeV}$

Majorana \Rightarrow CP violation in $M_R \Rightarrow$ higgs + lepton \Rightarrow Leptogenesis \Rightarrow B asymmetry

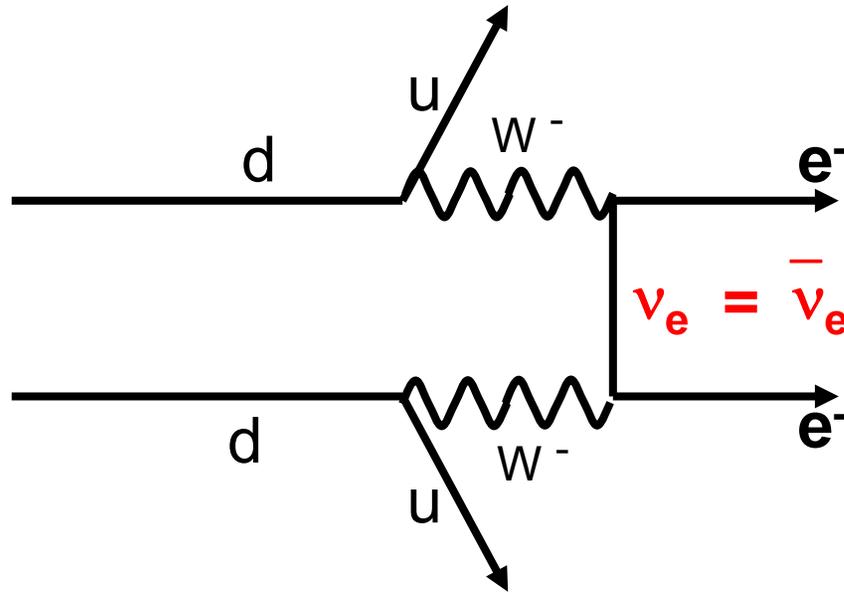
2) Absolute mass scale:

Hierarchy: degenerate, inverted or normal
(effective) neutrino mass



0ν - $\beta\beta$ Decay

$$(A, Z) \rightarrow (A, Z + 2) + e_1^- + e_2^-$$



$$\Delta L = 2$$

Assume leading term is exchange of light Majorana neutrinos

$$T_{1/2} (0\nu)^{-1} = G M^2 m_{ee}^2$$

Effective neutrino mass

Phase space Nuclear matrix element



Effective Majorana mass

$$m_{ee} = \left| \sum_i U_{ei}^2 m_i \right|$$

U_{ei} complex:

⇒ sensitive to CP phases (optimist☺)

⇒ cancellation possible (pessimist)

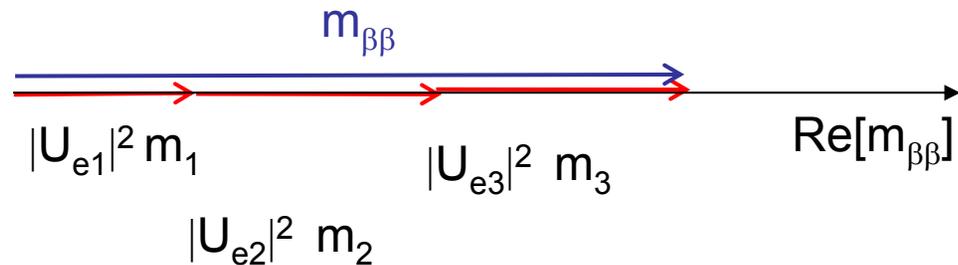
NB: Beta-endpoint (KatrIn)

$$m_{\nu_e} = \left(\sum_i |U_{ei}|^2 m_i^2 \right)^{1/2}$$



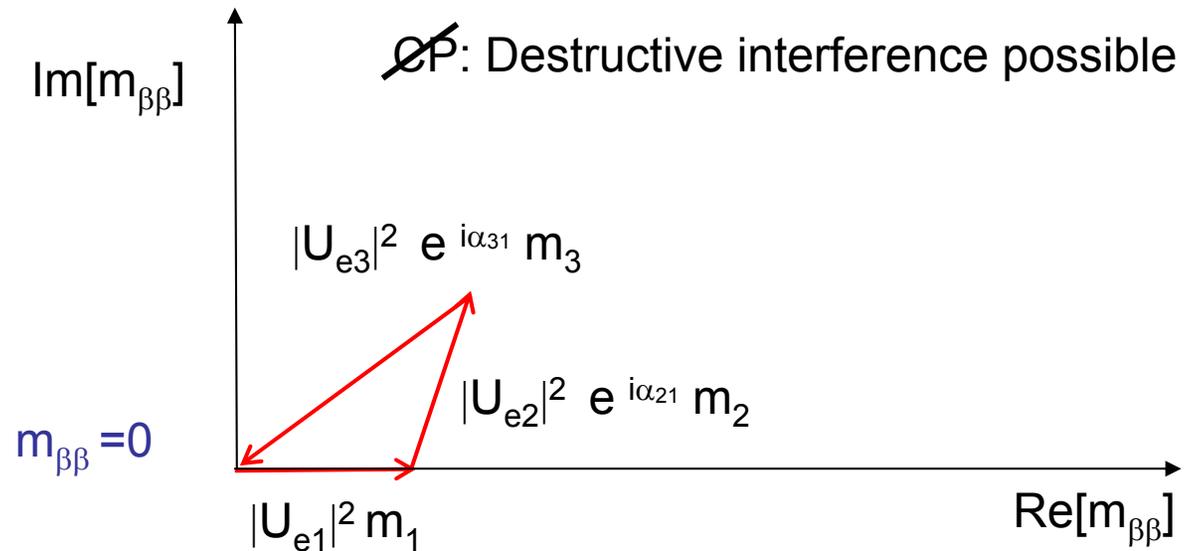
$$\begin{aligned} m_{\beta\beta} &= |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{2i\lambda_{21}} m_2 + |U_{e3}|^2 e^{2i(\lambda_{31}-\delta)} m_3 \\ &= |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 \end{aligned}$$

If CP is conserved:



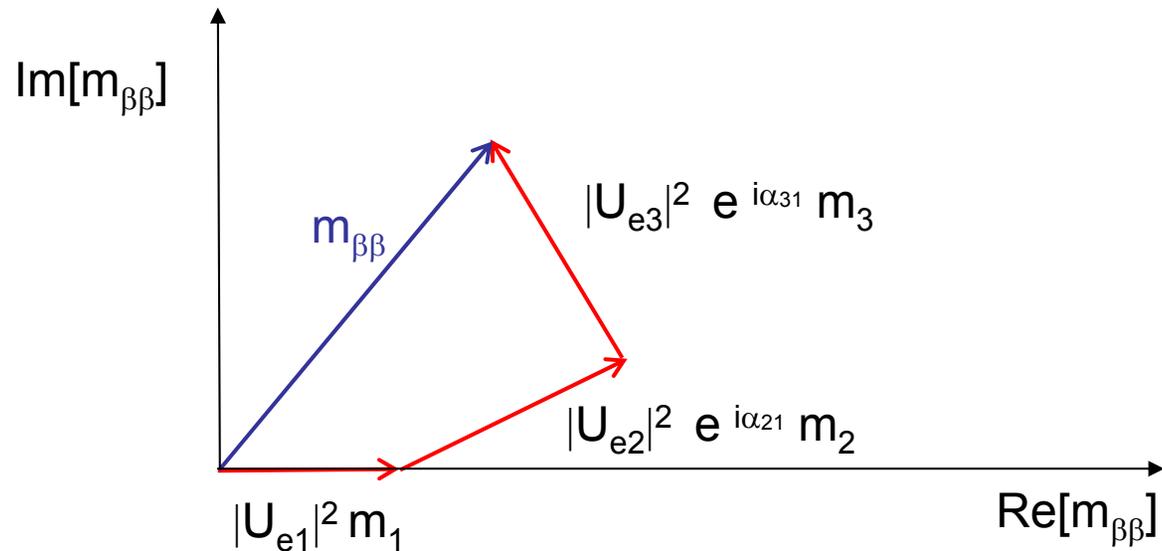


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 \end{aligned}$$

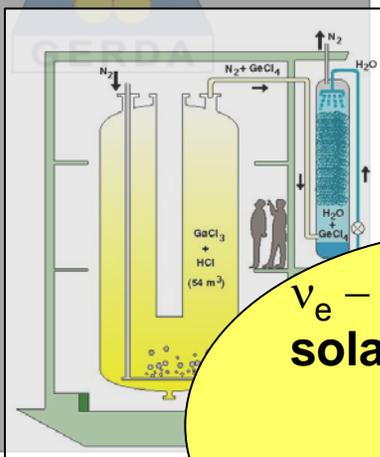


Standard parametrization:

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13} \\ \dots & \dots & s_{23}c_{13}e^{i\delta} \\ \dots & \dots & c_{23}c_{13}e^{i\delta} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$



Experimental evidences for neutrino oscillations



$\bar{\nu}_e - \bar{\nu}_{\mu, \tau}; \bar{\nu}_e - \bar{\nu}_x$
solar- and reactor- ν 's:

$\Delta m^2_{sol} \cong 8 \cdot 10^{-5} \text{ eV}^2$
 $\sin^2 2\theta_{12} \cong 0.8$

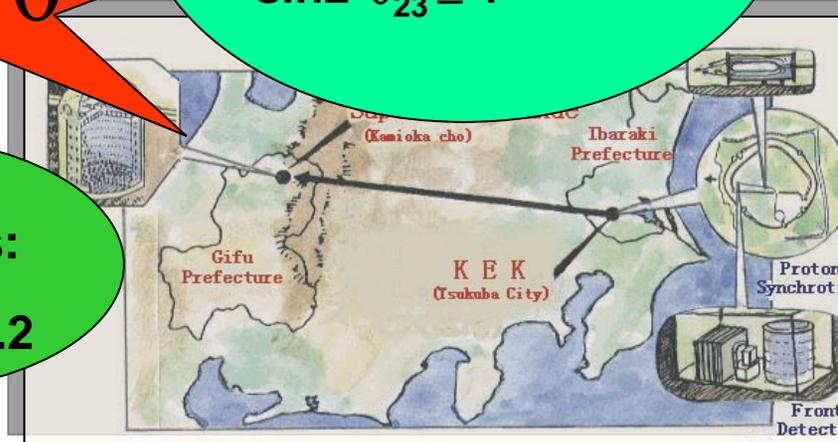
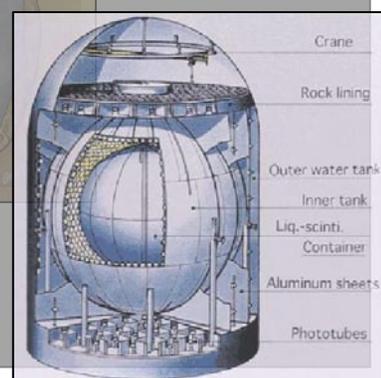
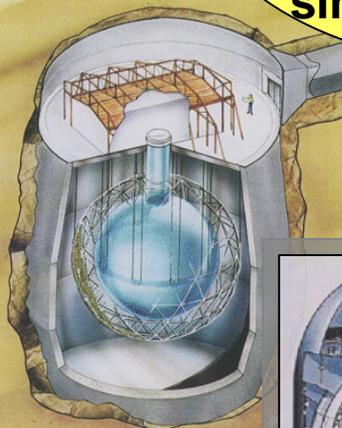
$\Delta m^2, \theta$

$\nu_{\mu} - \nu_{\tau}$
**atmospheric- and
 accelerator- ν 's:**

$\Delta m^2_{atm} \cong (2-4) \cdot 10^{-3} \text{ eV}^2$
 $\sin^2 2\theta_{23} \cong 1$

$\bar{\nu}_e - \bar{\nu}_x$
reactor- ν 's:

$\sin^2 2\theta_{13} < 0.2$



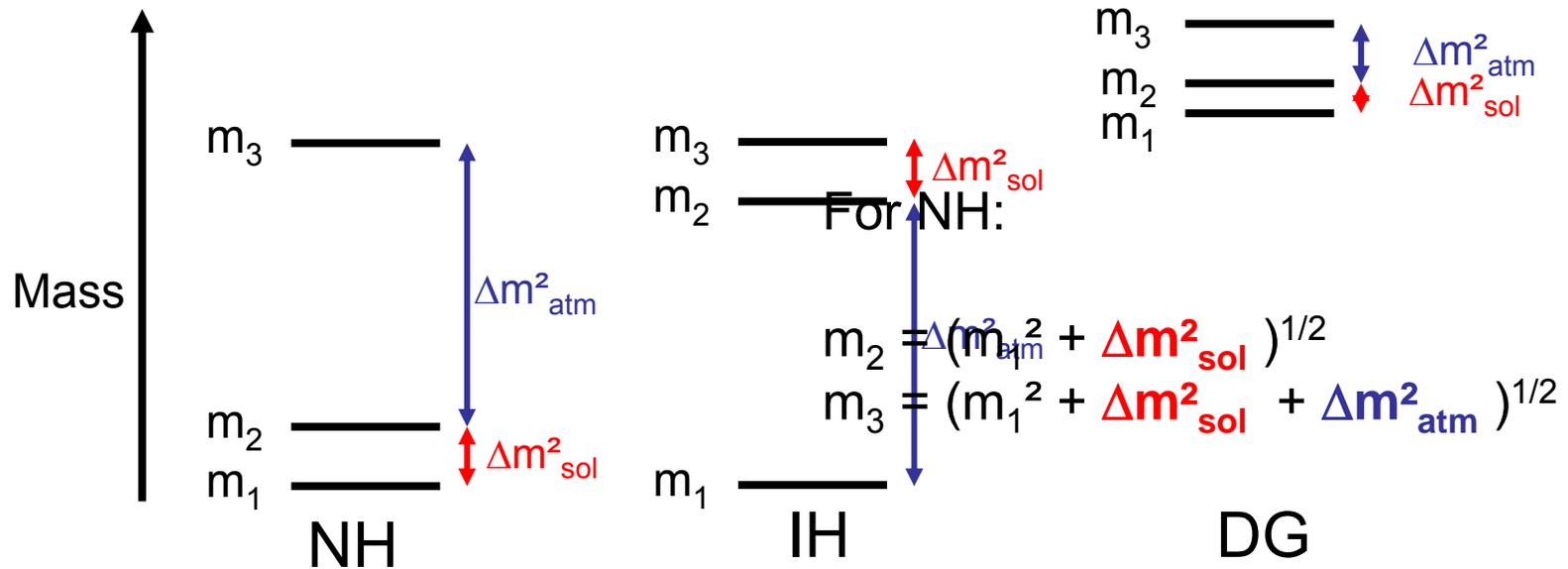


Input for m_{ee} from ν -oscillations

Solar/Reactor ν : θ_{12} , Δm^2_{sol}

Atmosph.- ν : Δm^2_{atm}

Reaktor- ν : θ_{13}

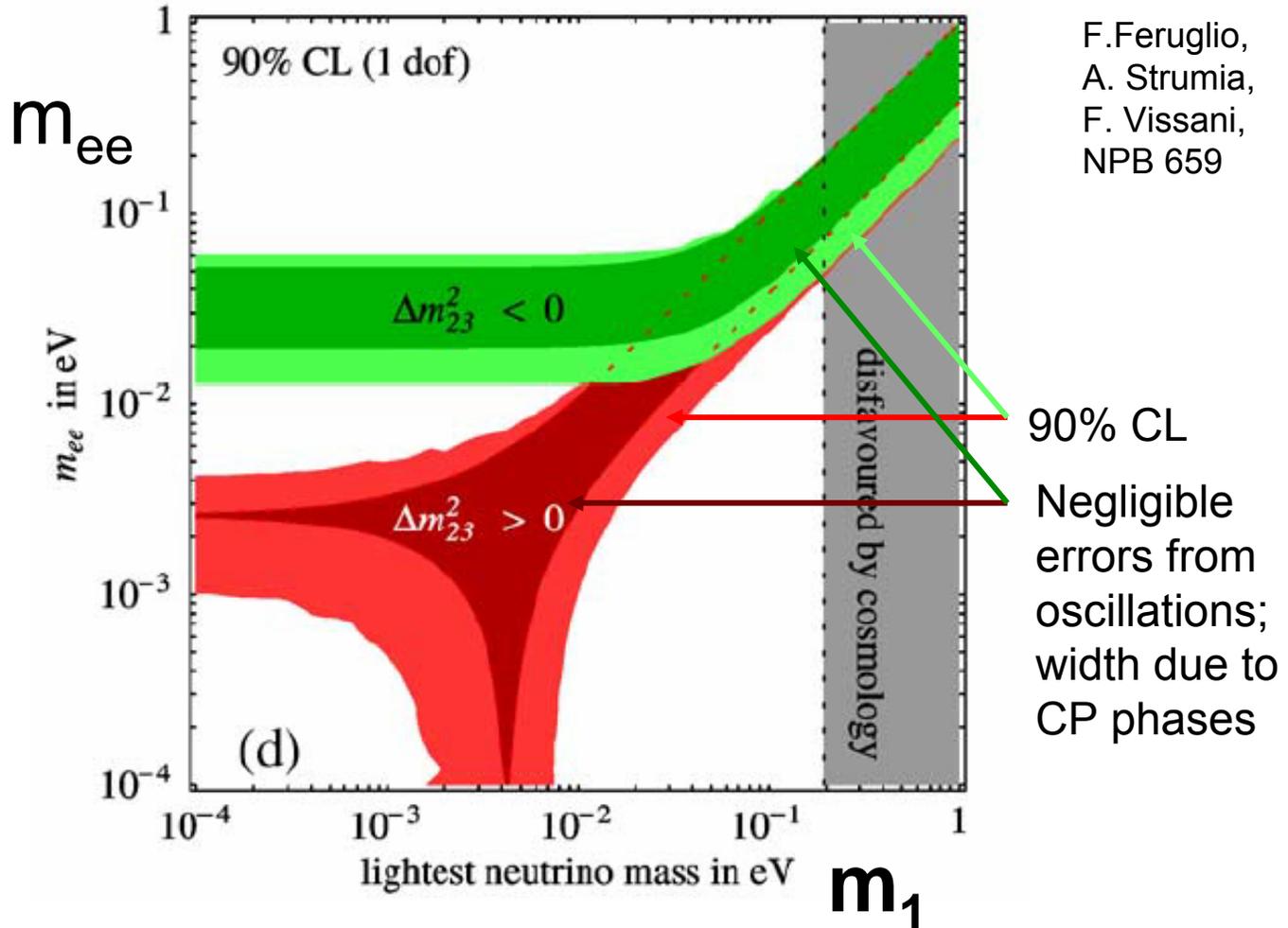


$$m_{ee} = \left| \cos^2 \theta_{13} (m_1 \cos^2 \theta_{12} + m_2 e^{2i\alpha} \sin^2 \theta_{12}) + m_3 e^{2i\beta} \sin^2 \theta_{13} \right|$$

$$\Rightarrow m_{ee} = f(m_1, \Delta m^2_{sol}, \Delta m^2_{atm}, \theta_{12}, \theta_{13}, \alpha, \beta)$$



Predictions from oscillation experiments



F. Feruglio,
A. Strumia,
F. Vissani,
NPB 659



Claim for evidence for $\beta\beta(0\nu)$

H.V. Klapdor-Kleingrothaus, A. Dietz, I.V. Krivosheina, O. Chkvorets, NIM A 522 (2004)
(subgroup of Heidelberg-Moscow Collaboration)

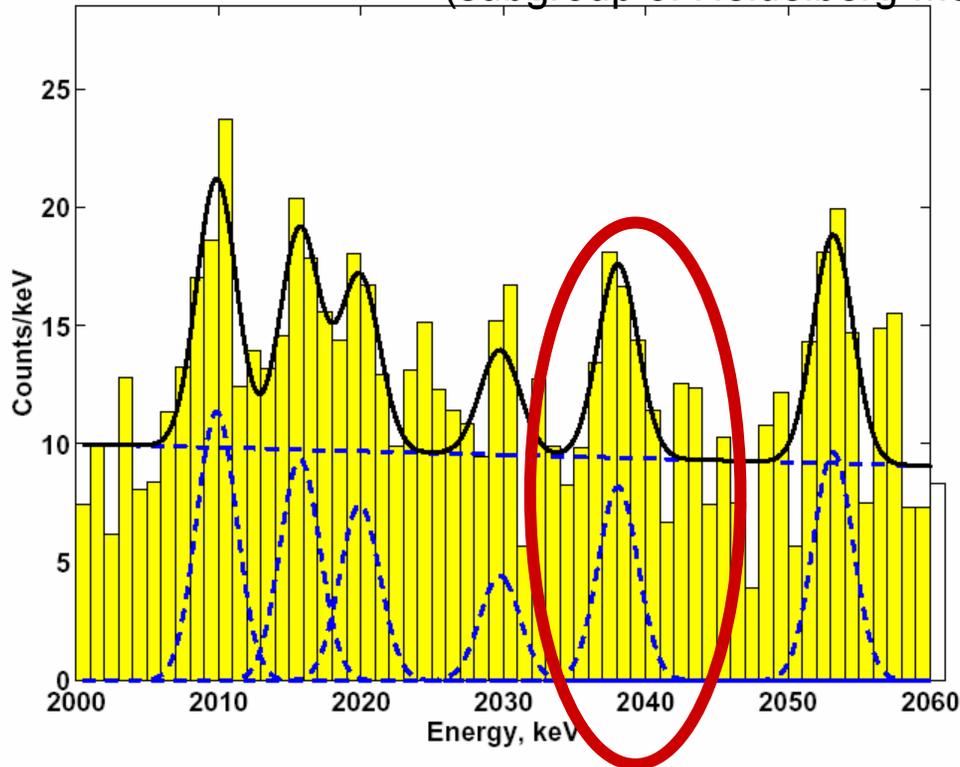


Fig. 17. The total sum spectrum of all five detectors (in total 10.96 kg enriched in ^{76}Ge), for the period November 1990–May 2003 (71.7 kg year) in the range 2000–2060 keV and its fit (see Section 3.2).

Heidelberg-Moscow data:

- Nov 1990- May 2003
- 71.7 kg year
- Bgd 0.11 / (kg y keV)

- 28.75 ± 6.87 events (bgd:~60)
- 4.2 sigma evidence for $0\nu\beta\beta$

- $0.69-4.18 \times 10^{25}$ y (3 sigma)
- Best fit 1.19×10^{25} y

- $m_{ee} = 0.24-0.58$ eV
- best fit 0.44 eV

NB. Statistical significance depends on background model!



Experimental sensitivity: w/o background

Experimental life time

$$\tau = \frac{N_N T}{N_S}$$

number of nuclides under control $\propto M$
 live time
 number of detected decays

Background free limit:

0 cnts in the analysis energy window \Rightarrow Poisson upper limit: N_P

Remember: $\left[T_{\frac{1}{2}}^{0\nu}(0^+ \rightarrow 0^+) \right]^{-1} = G^{0\nu}(E_0, Z) \left| M_{GT}^{0\nu} - \frac{g_V^2}{g_A^2} M_F^{0\nu} \right|^2 \langle m_\nu \rangle^2$

$$\tau \geq \frac{N_N T}{N_P} \propto M \cdot T \Rightarrow \langle m \rangle \leq \frac{\text{const}}{(M T)^{1/2}}$$



Sensitivity: with background

If no decay is observed in presence of N_B background events in an energy window ΔE :

$$N_S < (N_B)^{1/2} \quad \longrightarrow \quad \tau > \frac{N_N T}{(N_B)^{1/2}}$$

↑
detector
energy
resolution

$$N_B = b M T \Delta E \quad \mathbf{b: \text{background index}} [1/(\text{kg} \cdot \text{year} \cdot \text{keV})]$$

$$\Rightarrow \tau > \frac{N_N T}{(b M T \Delta E)^{1/2}} \propto \left(\frac{M T}{b \Delta E} \right)^{1/2}$$

$$\Rightarrow \langle m \rangle \leq \text{const.} \cdot \left(\frac{b \Delta E}{M T} \right)^{1/4}$$



Comparison of DBD Isotopes

$$T_{1/2}^{0\nu} = \frac{1}{\Gamma(Q_{\beta\beta}^5) M^2 \langle m_{ee} \rangle^2}$$

GERDA, Majorana

$$N_{sig} = N_{Avg} \cdot \frac{mass \cdot t}{A} \cdot \ln 2 \cdot \Gamma \cdot M^2 \cdot \langle m_{ee} \rangle^2$$

isotope	$Q_{\beta\beta}$	nat. abund.	rel. A	rel Γ	rel. M^2	N_{sig}
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2039 keV	7.4%	1	1	1	2.4
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2995 keV	9.2%	0.93	4.4	0.71	7.0
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3034 keV	9.6%	0.76	7.2	0.23	3.0
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2529 keV	34%	0.58	6.9	0.33	3.2
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2479 keV	8.9%	0.56	7.4	0.15	1.5

for 1000 kg y, $\langle m_{ee} \rangle = 50 \text{ meV}$, M^2 from V.A.Rodin et al, Nucl. Phys. A766 (2006) 107.

NEMO3

Super-Nemo

Cuoricino/Cuore

EXO



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 - Claim of KK et al. (HdM Data)
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Two new ^{76}Ge Projects:



GERDA



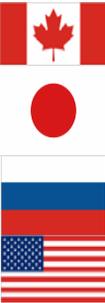
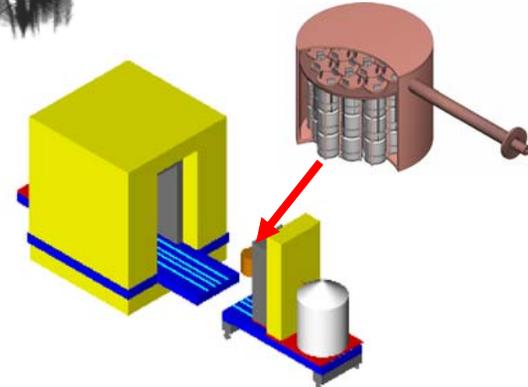
List of institutions:

- INFN LNGS, Assergi, Italy
- JINR Dubna, Russia
- Institute for Reference Materials, Geel, Belgium
- MPIK, Heidelberg, Germany
- Univ. Köln, Germany
- Jagiellonian University, Krakow, Poland
- Univ. di Milano Bicocca e INFN, Milano, Italy
- INR, Moscow, Russia
- ITEP Physics, Moscow, Russia
- Kurchatov Institute, Moscow, Russia
- MPI Physik, München, Germany
- Univ. di Padova e INFN, Padova, Italy
- Univ. Tübingen, Germany

- ~80 physicists, 13 institutions, 5 countries
- approved Nov 2004 at LNGS
- Status: under construction



Majorana



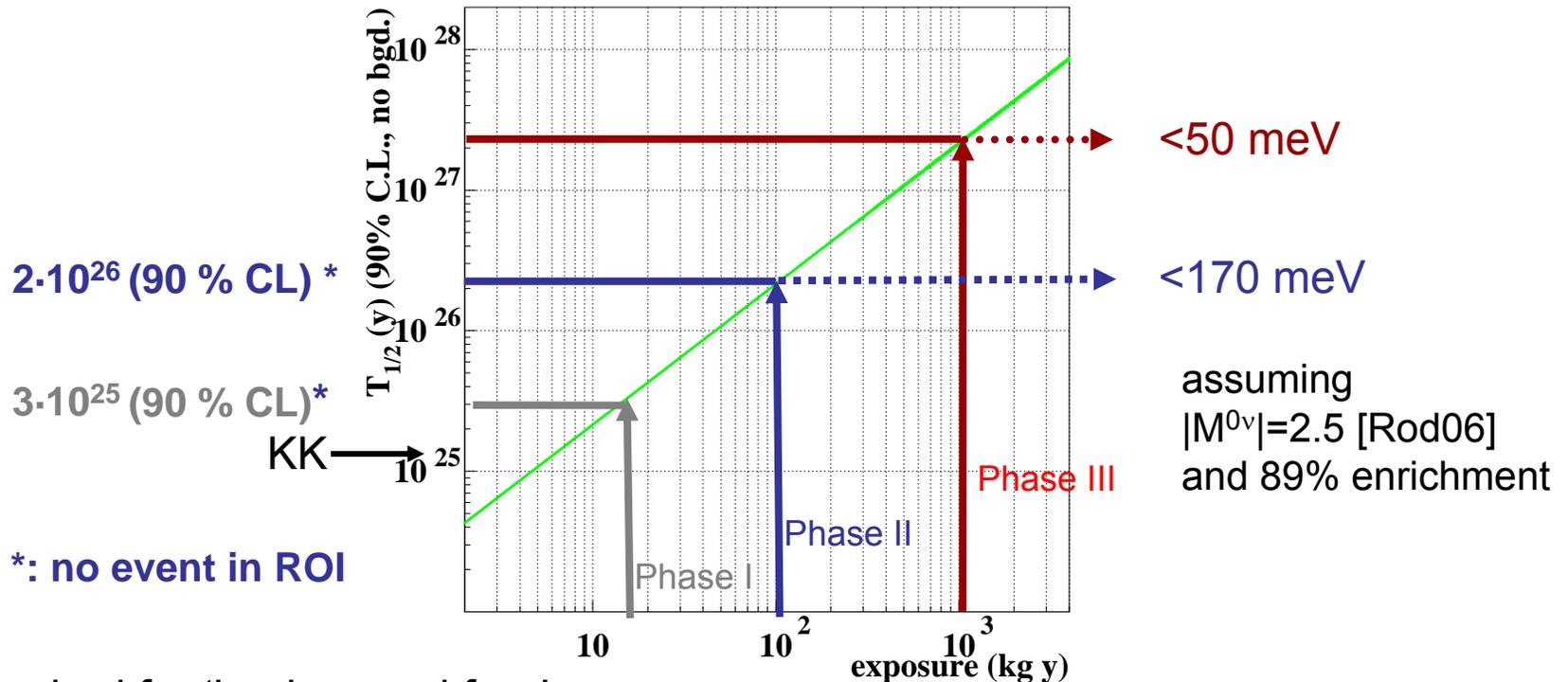
- Array(s) of ^{enr}Ge housed in high-purity electroformed copper cryostat
- Shield: electroformed copper / lead
- Staged approach based on 60 kg arrays (60/120/180 kg)

mass range
and exp. techniques

technologies (e.g. MaGe MC)
consider to merge for efficiency, exp. (inv. Hierarchy)



Phases and Physics reach of GERDA



required for 'background free'

exp. with $\Delta E \sim 3.3$ keV (FWHM): $O(10^{-3})$ $O(10^{-4})$ counts/(kg·y·keV)

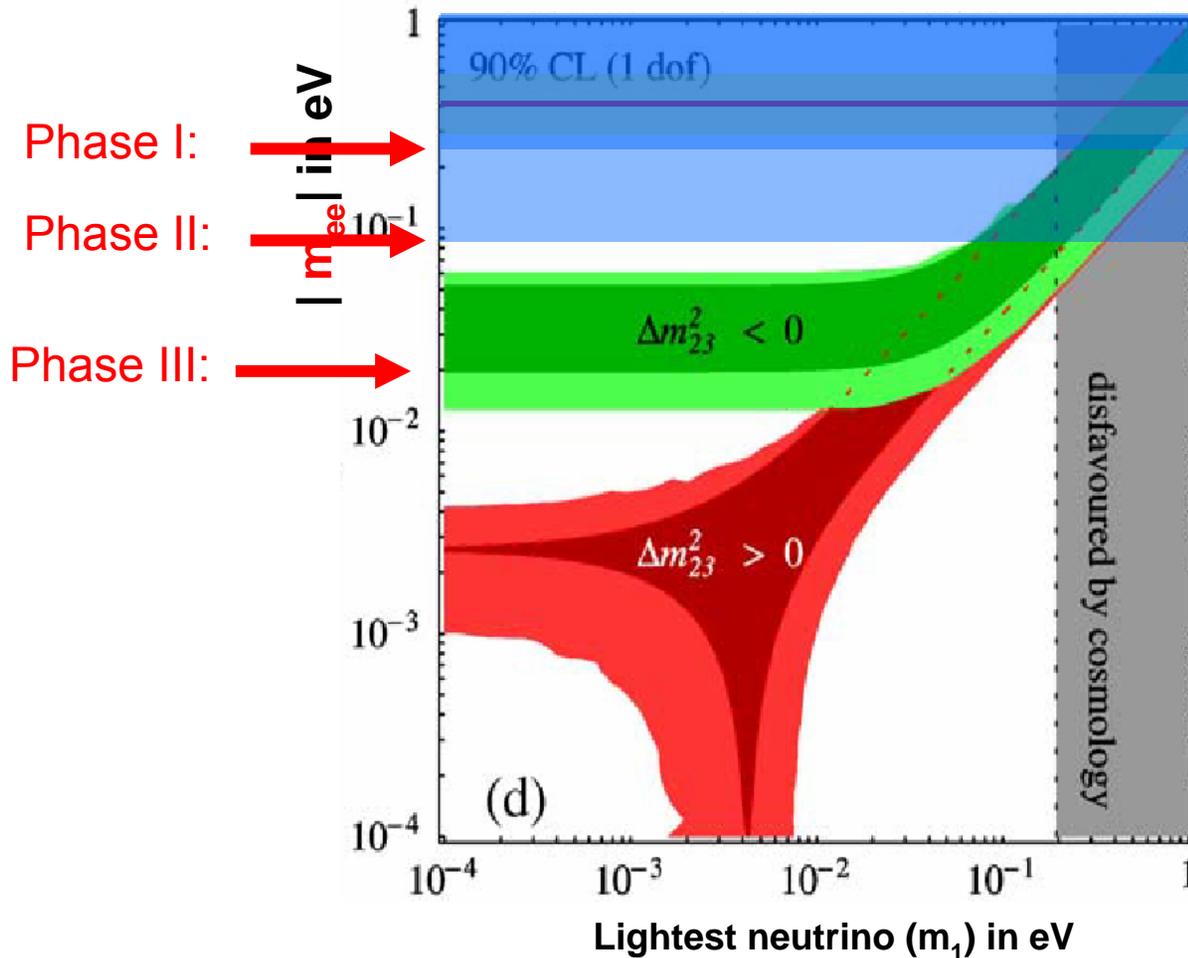
Background requirement for GERDA:

⇒ Background reduction by factor $10^2 - 10^3$ required w.r. to precursor exps.

⇒ Degenerate mass scale $O(10^2 \text{ kg}\cdot\text{y})$ ⇒ Inverted mass scale $O(10^3 \text{ kg}\cdot\text{y})$



Phases and Physics reach of GERDA



F. Feruglio, A. Strumia, F. Vissani, NPB 659



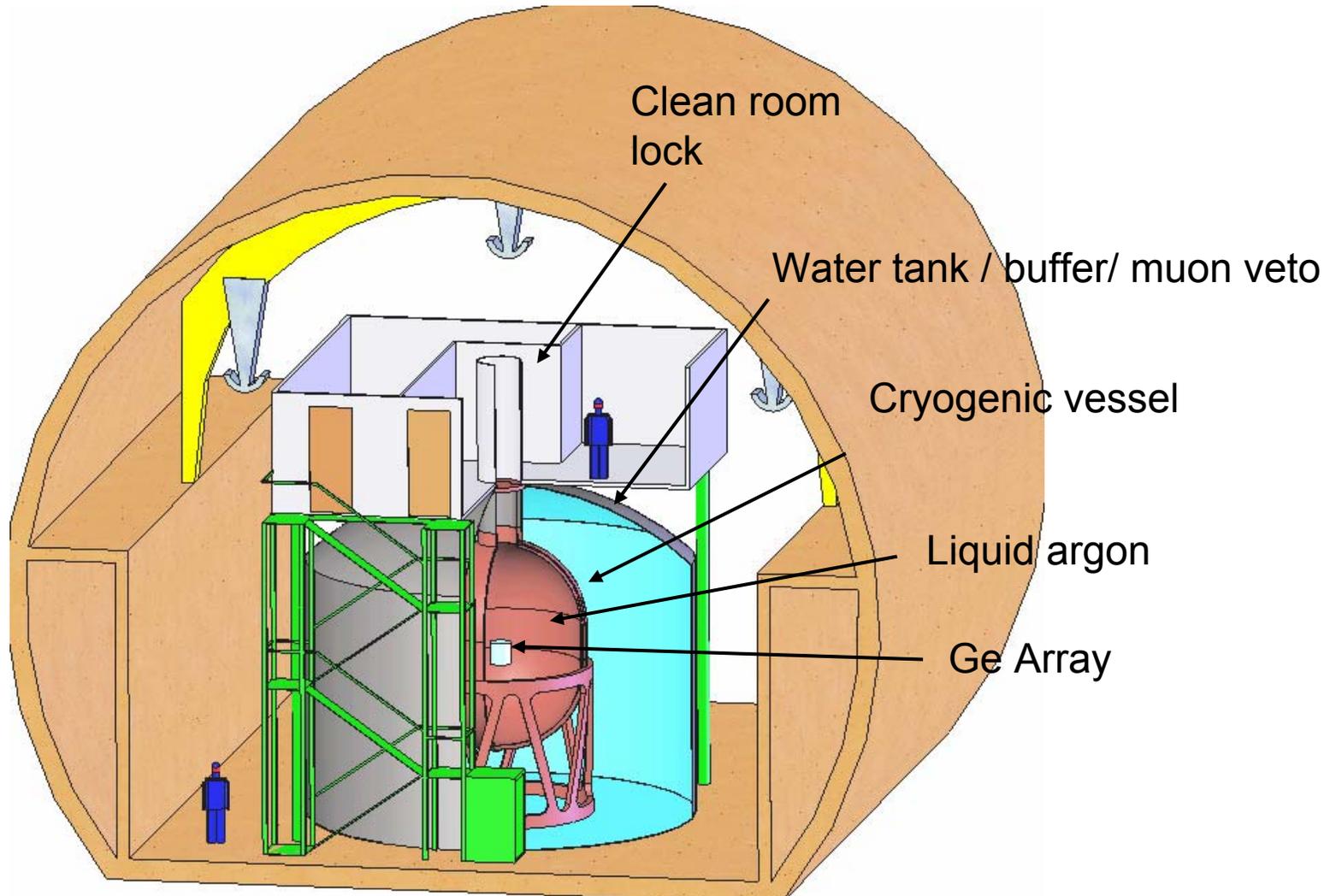
GERDA at LNGS



GERDA location:
hall A of LNGS

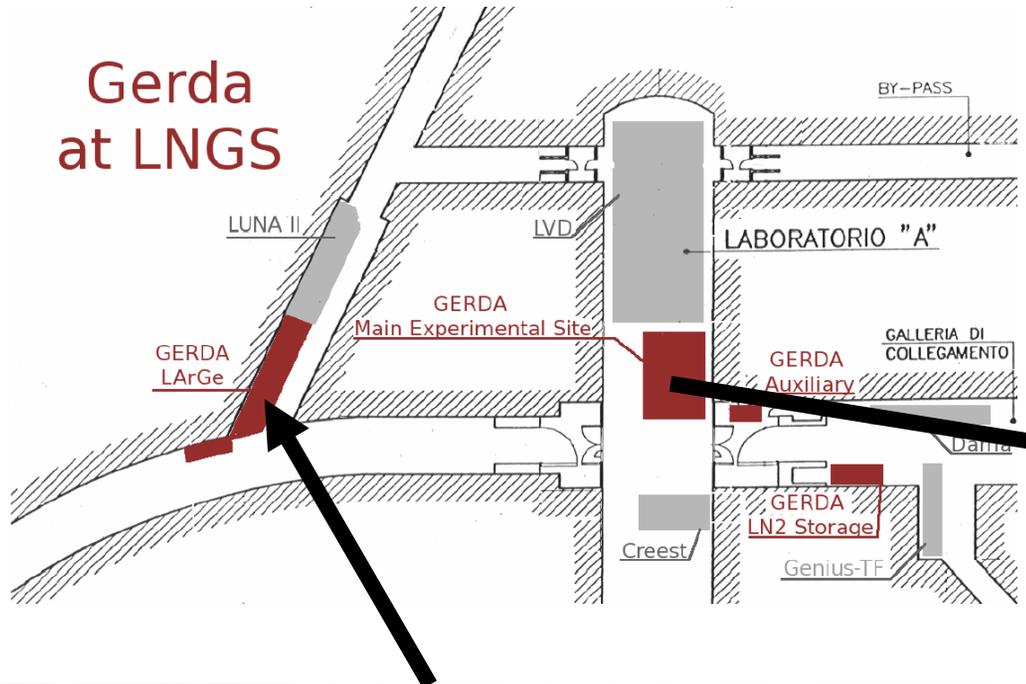


GERDA design





GERDA underground facilities at LNGS

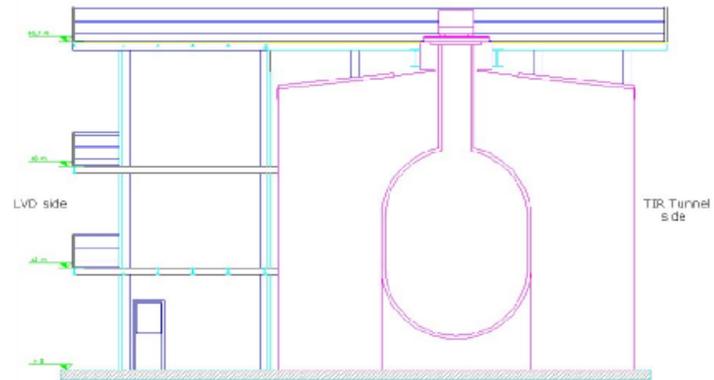
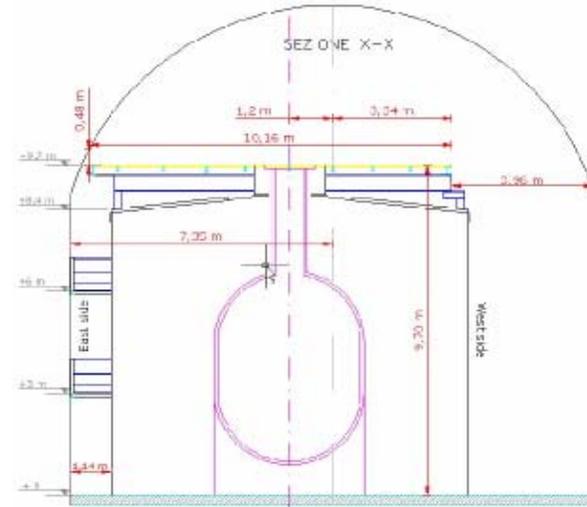




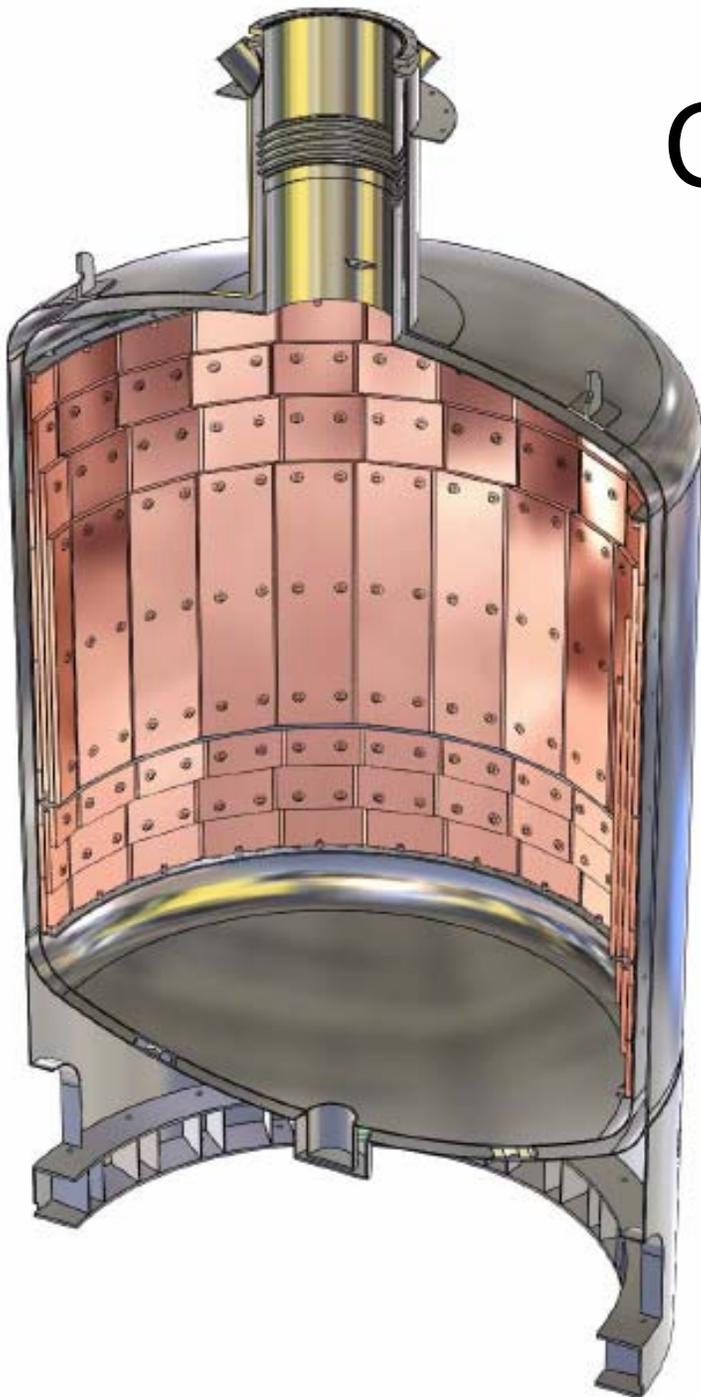
Main Experimental Site



June '06



Cryostat

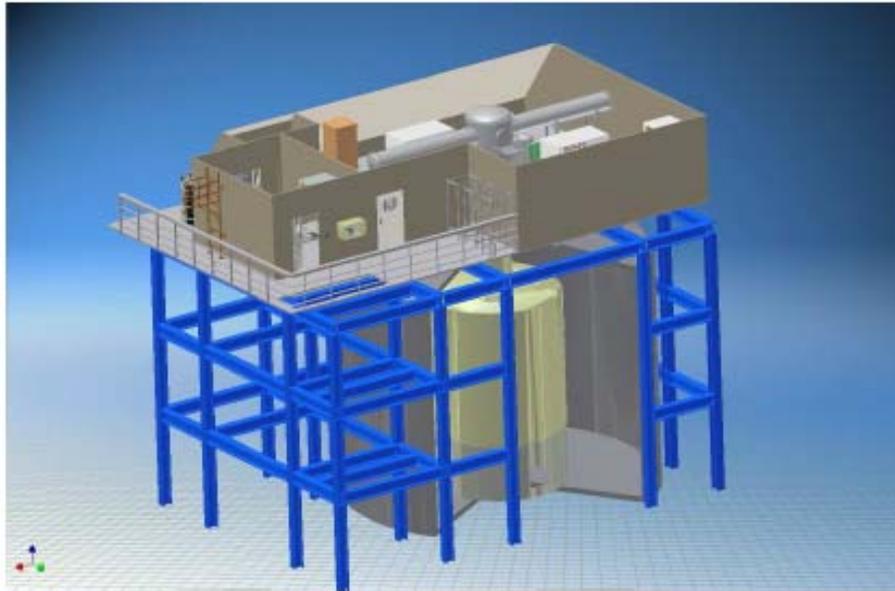


- Vacuum insulated stainless steel cryostat with internal Cu liner (stainless steel factor ~100 more radioactive (^{238}U , ^{232}Th) than Cu)
- \varnothing outer \times height 4200 \times 8900 [mm \times mm]
- inner vessel volume 70 [m³]
- empty vessel 25,000 [kg]
- max. load inner vessel:
 - LAr 98,000 [kg]
 - Cu shield 20,000 [kg]



Infrastructure on Top of Platform

Clean room with lock on platform



Lock with tubes for cables



Rail system to lower position and lower individual strings



Phase I Detectors:

Maintenance and Measurements in Underground detector laboratory (LArGe facility)

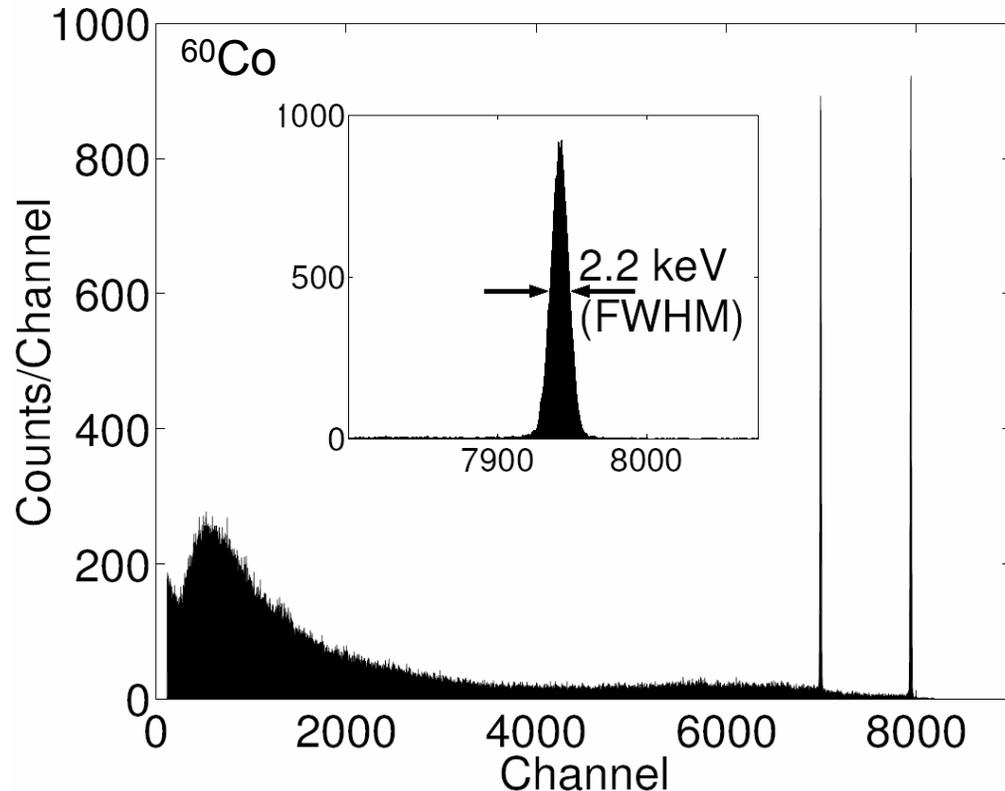


Since Nov. 2005: 17.9 kg of enriched Ge-detectors underground at LNGS; Characterization completed



Phase I Detectors:

Prototype tests of (natural) low-mass detector assembly in liquid nitrogen



Enriched detectors are currently re-processed and prepared for testing

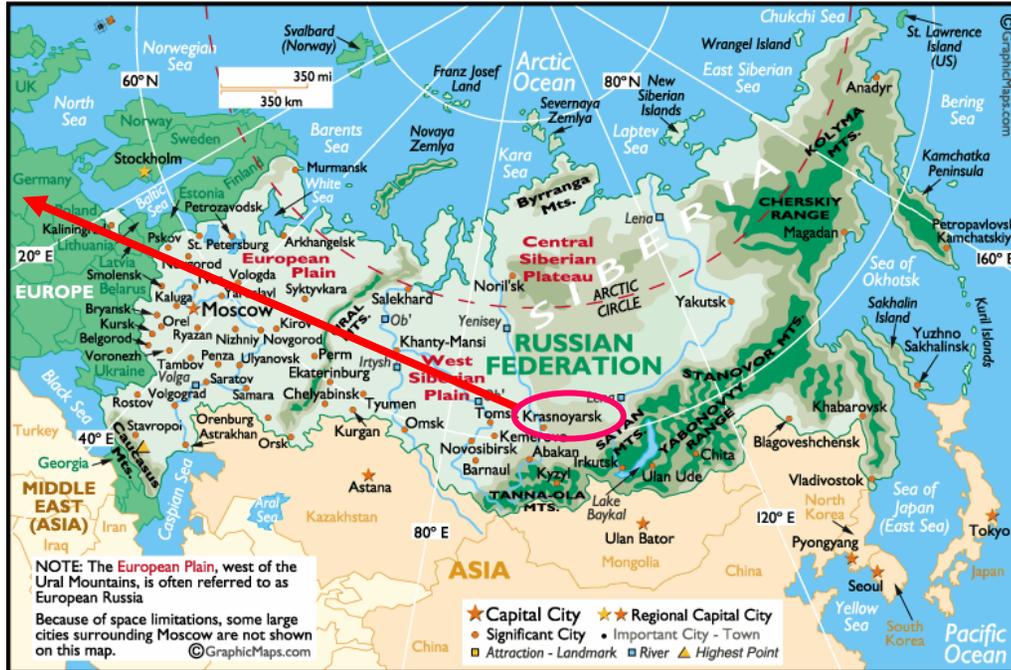


Phase II Detectors: Procurement of enriched Ge

- Enrichment of 37.5 kg Ge-76 completed in Sep.05

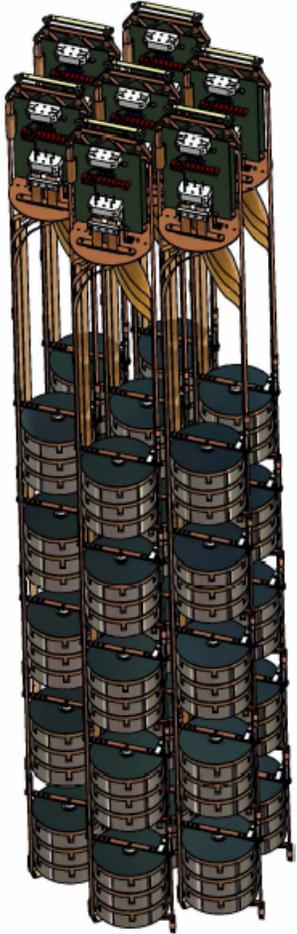
- Transportation of Material to Europe by truck in spring for further processing

- Specially designed protective steel container reduces activation by cosmic rays by factor 20



Test
transportation
March 05

Phase II Detectors: “True-coaxial” natural detectors

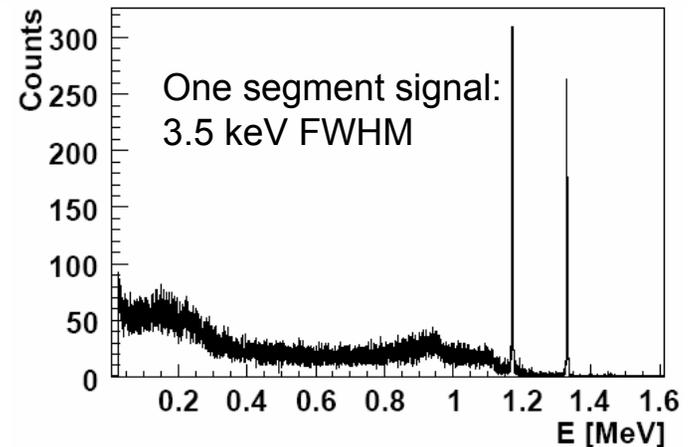
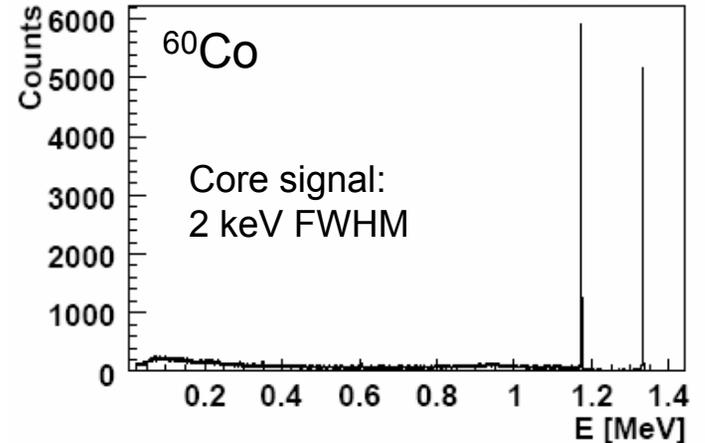


mock-up



- 6-fold- ϕ segmented p-type
- 18-fold (6- ϕ ; 3-z) segmented n-type

18-fold segmented detector
(in standard cryostat)





Backgrounds in GERDA

Source	B [10^{-3} cts/(keV kg y)]
Ext. γ from ^{208}Tl (^{232}Th)	$\ll 1$
Ext. neutrons	< 0.05
Ext. muons (veto)	< 0.03
Int. ^{68}Ge ($t_{1/2} = 270$ d)	12
Int. ^{60}Co ($t_{1/2} = 5.27$ y)	2.5
^{222}Rn in LN/LAr	< 0.2
^{208}Tl , ^{238}U in holder	< 1
Surface contam.	< 0.6

Muon veto

180 days exposure after enrichment + 180 days underground storage

30 days exposure after crystal growing

derived from measurements and MC simulations

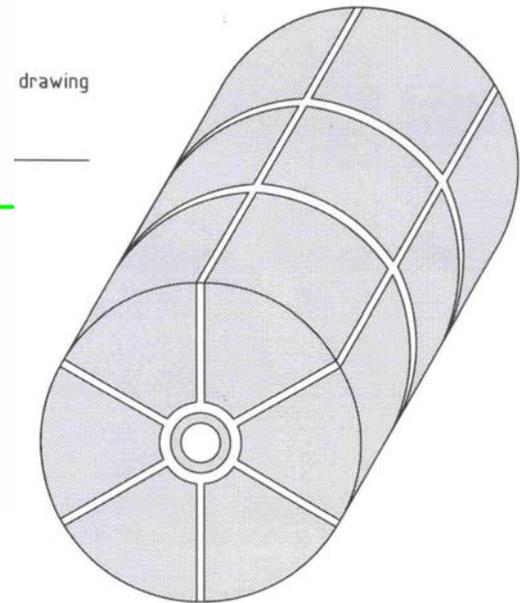
Target for phase II: $\Sigma B \leq 10^{-3}$ cts/(keV kg y)

\Rightarrow additional bgd. reduction techniques



Background reduction techniques

- Muon veto
- Anti-coincidence between detectors
- Segmentation of readout (Phase II)
- Pulse shape analysis (Phase II)
- Coincidence in decay chain
- Scintillation light detection



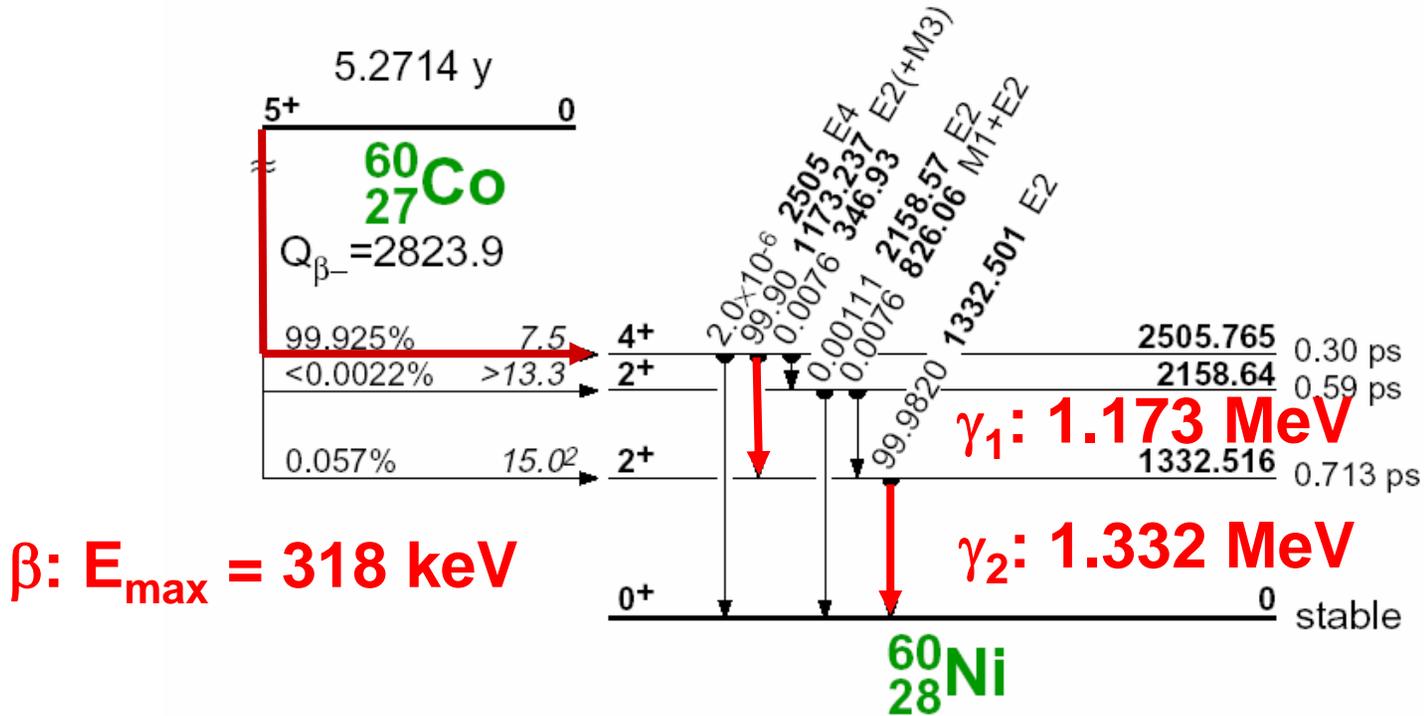


Background reduction techniques

- Muon veto
- Anti-coincidence between detectors
- **Segmentation of readout electrodes (Phase II)**
- Pulse shape analysis (Phase I+II)
- Coincidence in decay chain (Ge-68)
- **Scintillation light detection (LArGe)**



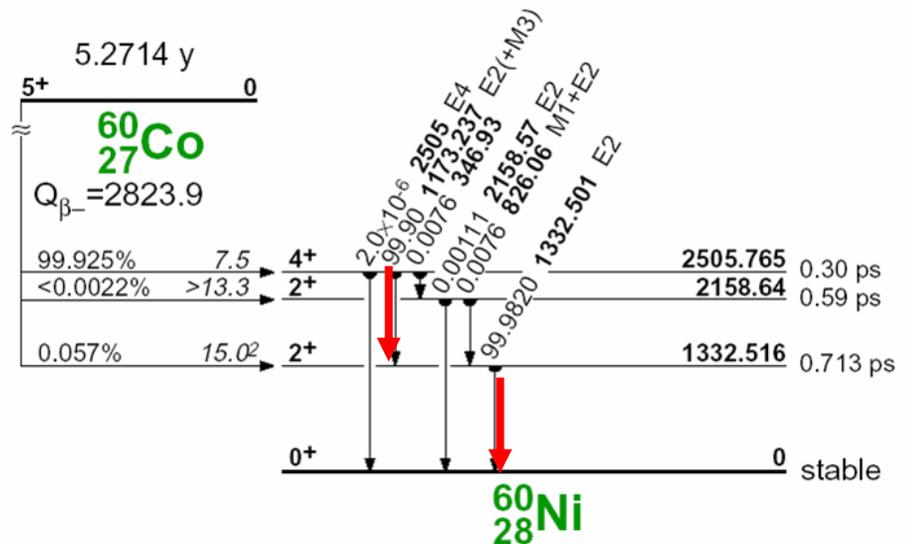
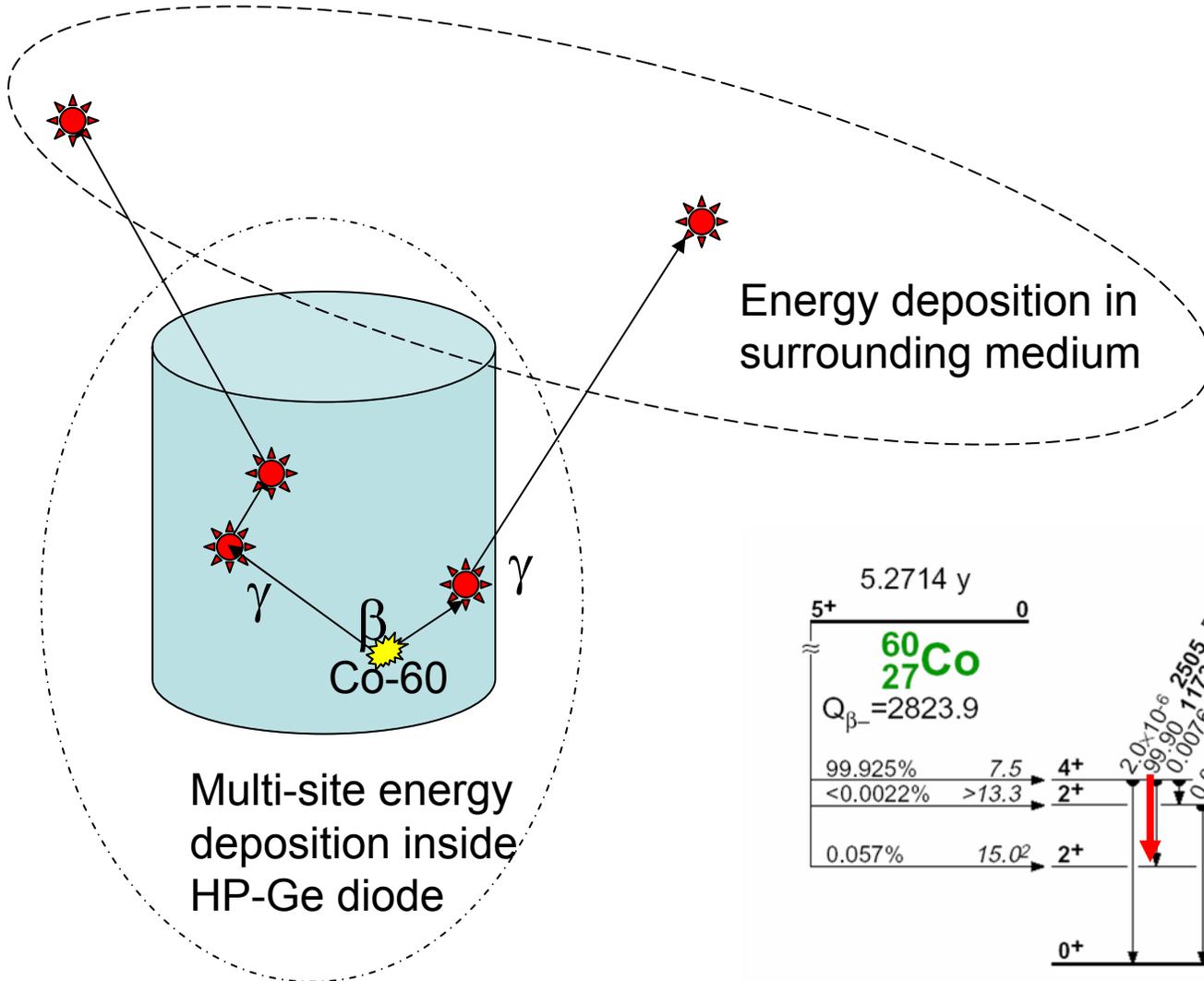
Example: Internal ^{60}Co



- T_0 : crystal growing
- 0.017 $\mu\text{Bq/kg}$ per day exposure
- Test: detector production in 7.4 days
- Assume 30 days $\Rightarrow 2.5 \cdot 10^{-3} / (\text{keV} \cdot \text{kg} \cdot \text{y})$

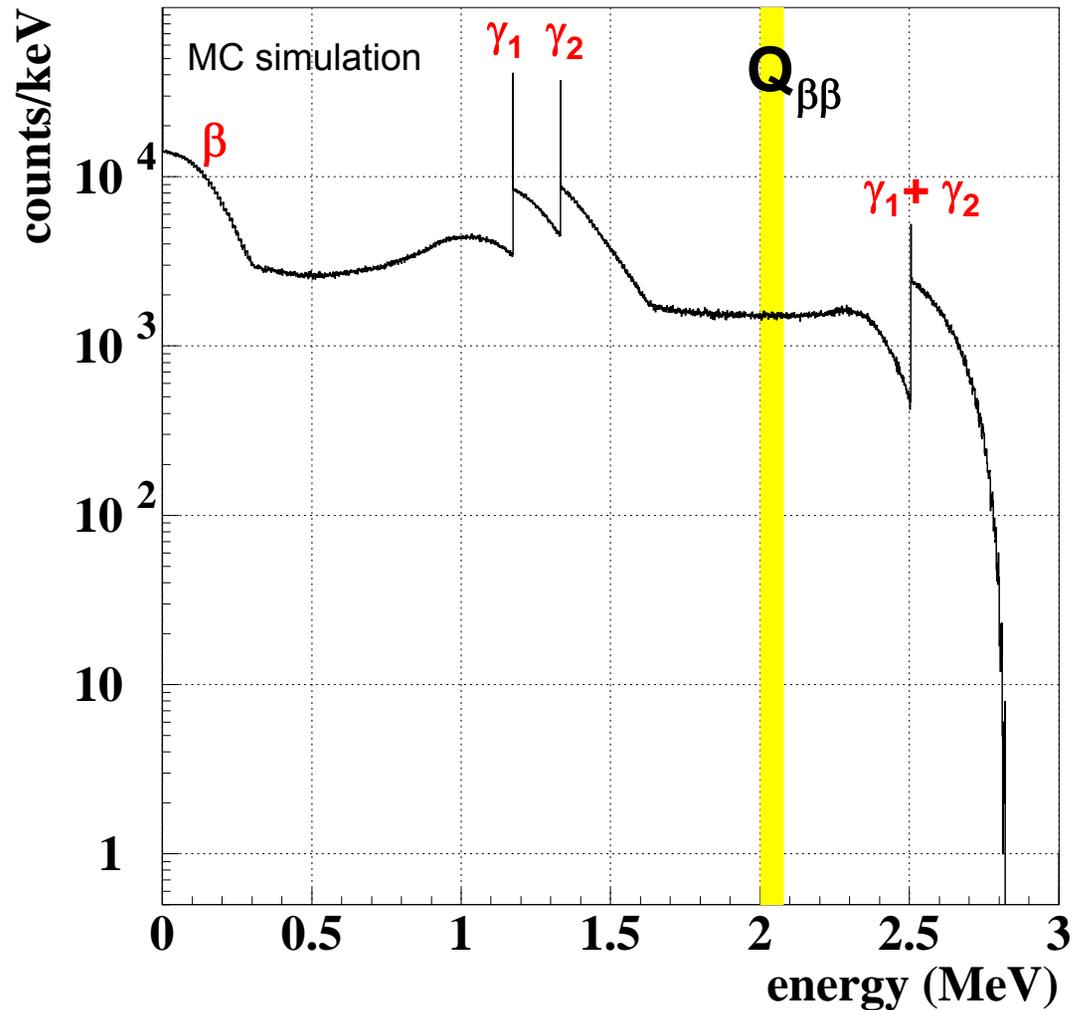
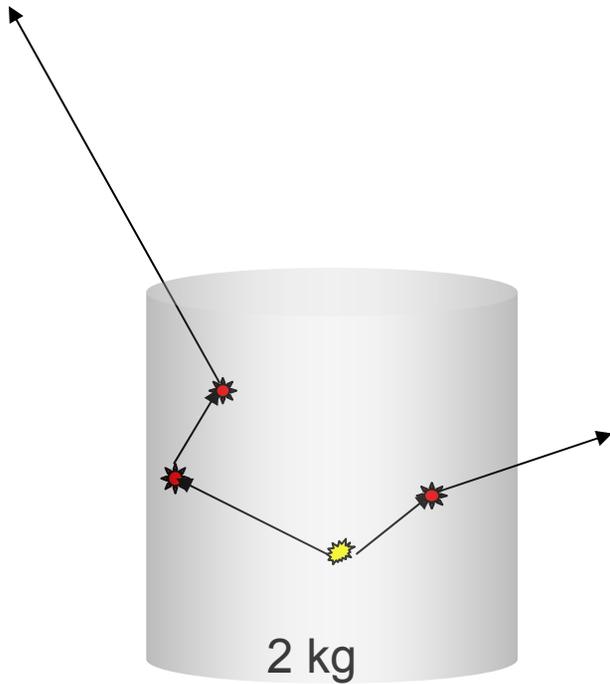


Example of background topology



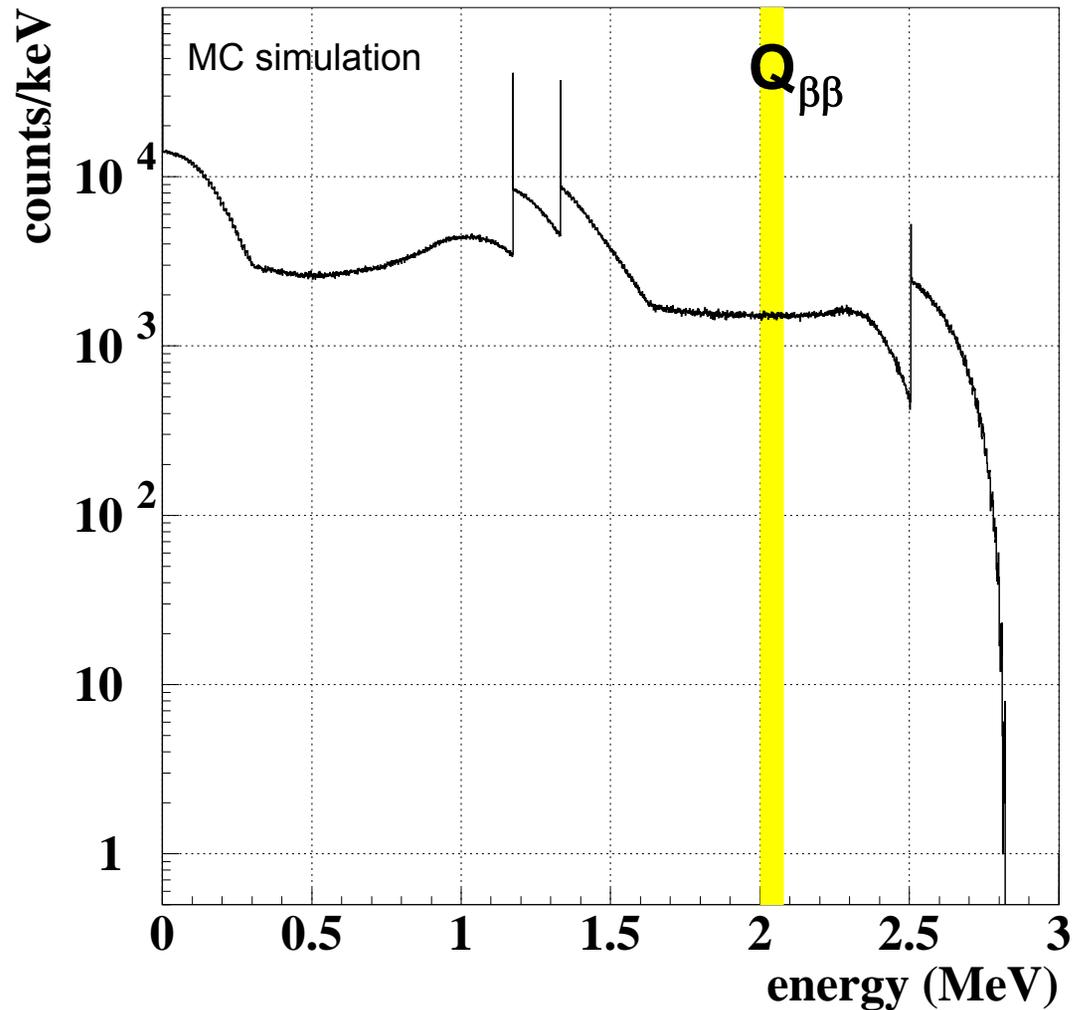
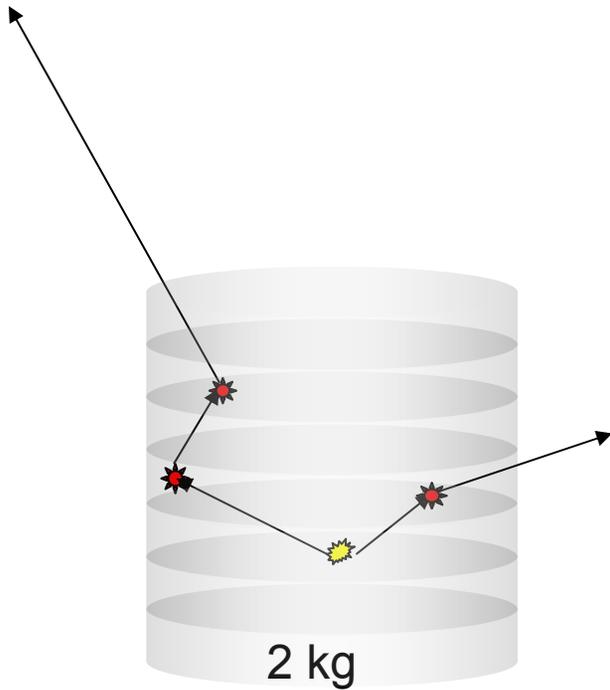


^{60}Co background spectrum





^{60}Co : suppression by segmentation





^{60}Co : suppression by segmentation

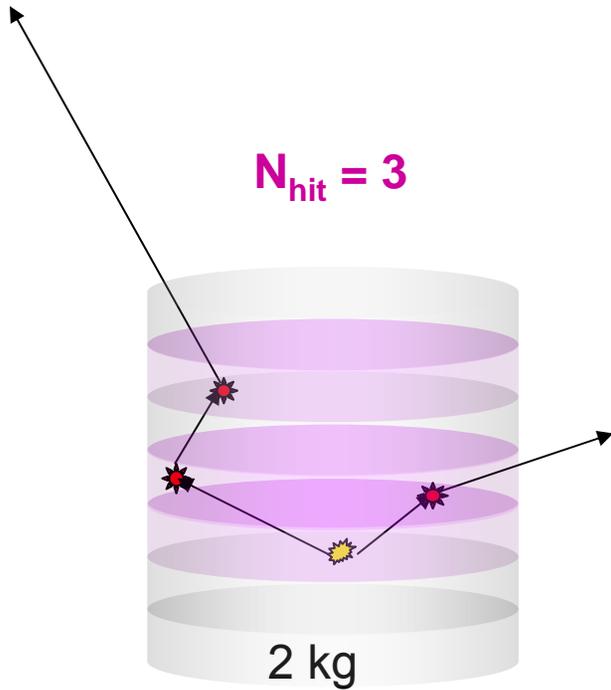
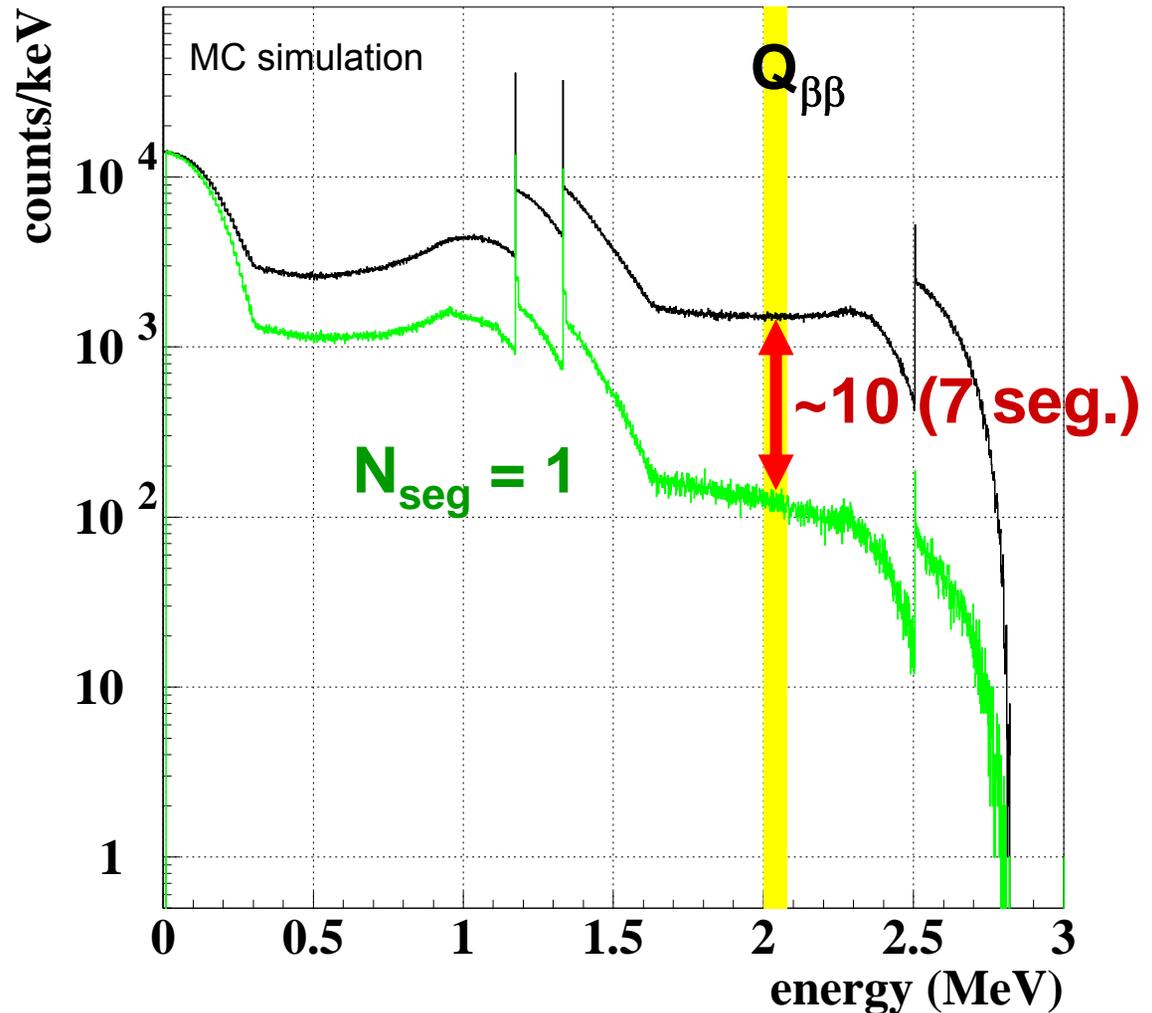
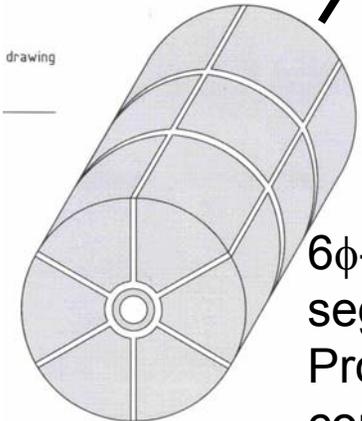
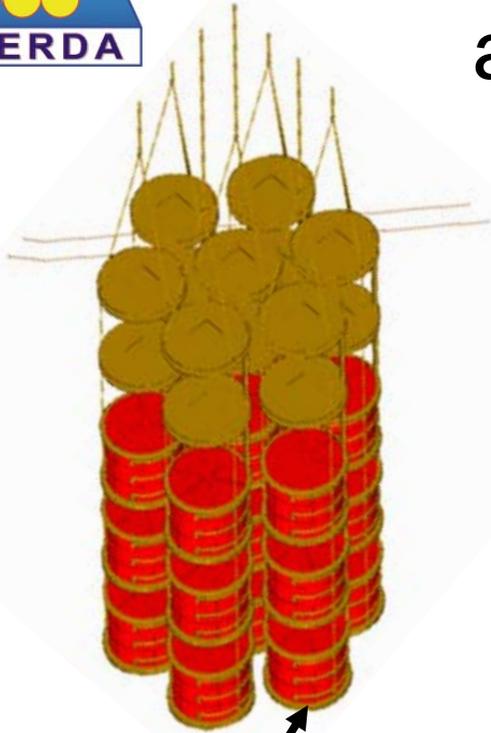


illustration:
Simple 7-fold segmentation



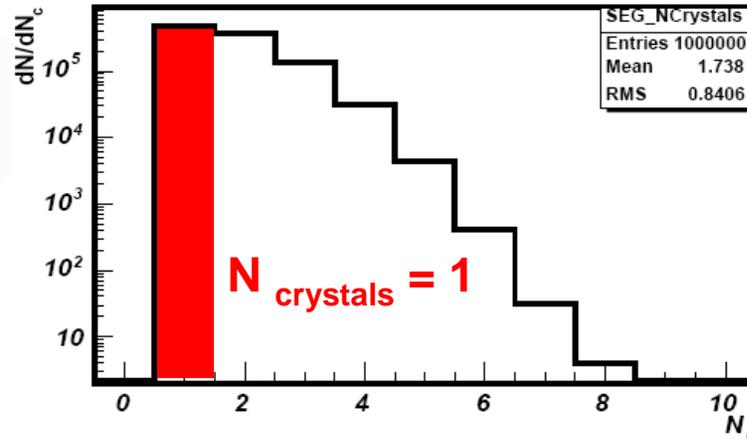


MaGe: ^{60}Co suppression by segmentation and anti-coincidence



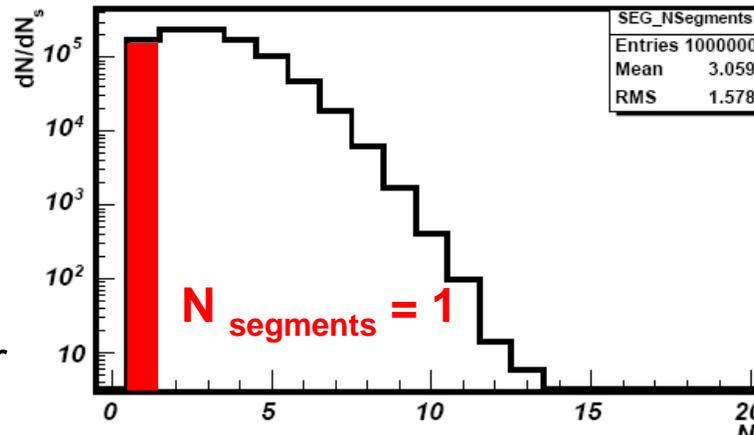
6 ϕ -3z segmentation; Prototype under construction

Number of crystals



probability per decay to deposit energy within $Q_{\beta\beta}$ ROI per 1 keV energy bin after combined cuts: (18-fold segm.)

Number of segments



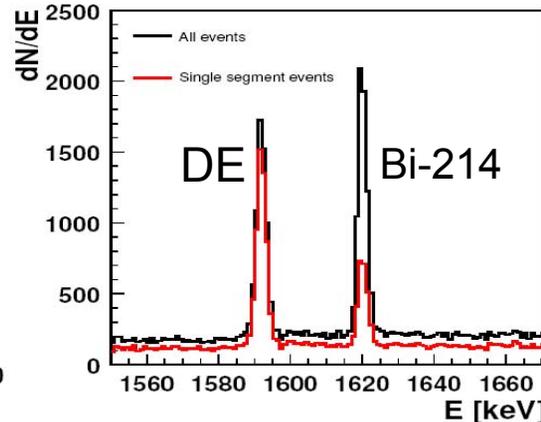
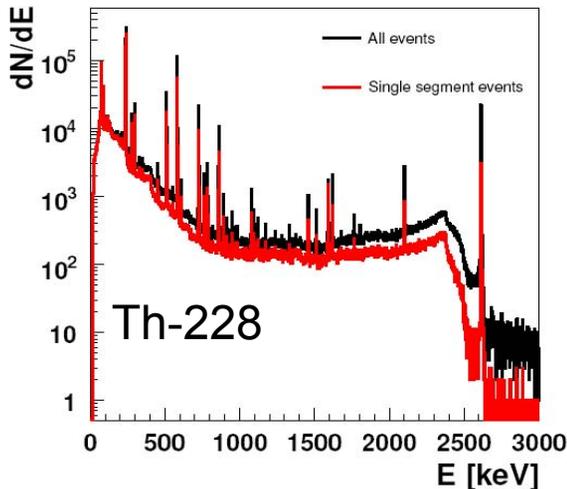
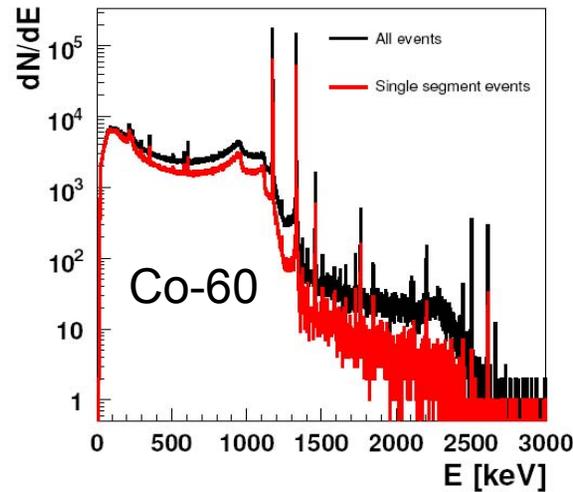
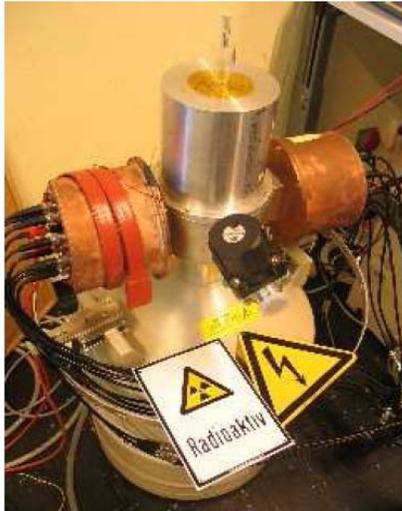
$P = 4.7 \cdot 10^{-6}/\text{keV}$

(factor ~ 35 reduction w/r to single unseg. detector)



Phase II detectors

1.6 kg 18-fold segmented true-coaxial n-type

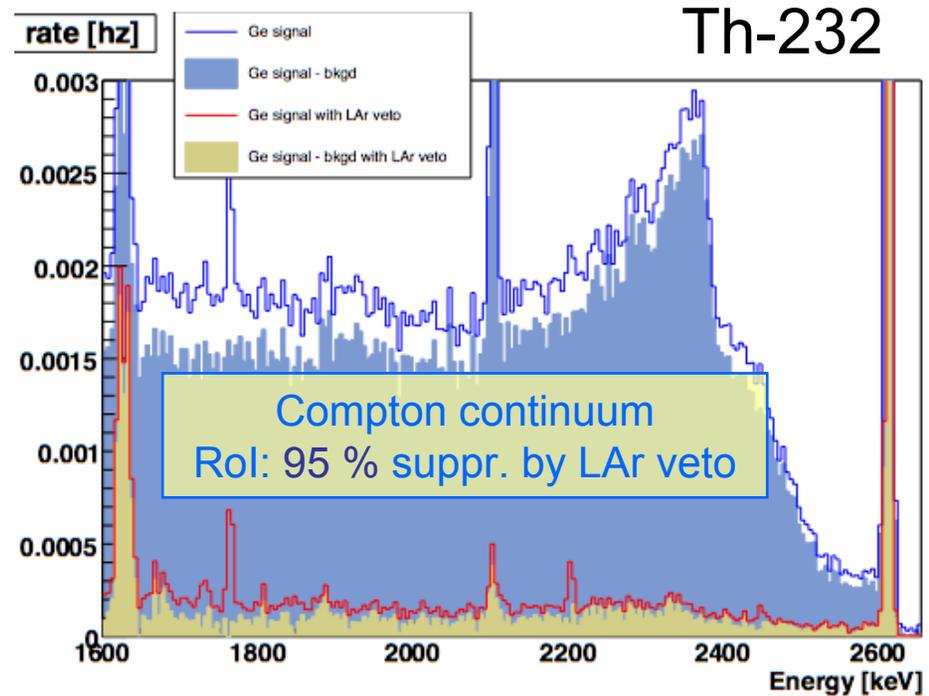
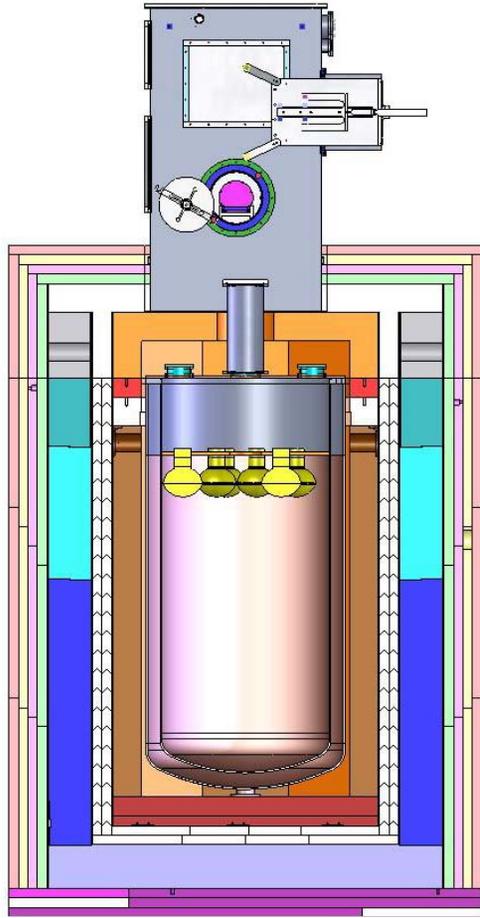


Goal:

- Study of γ identification and suppression factors at $Q_{\beta\beta}$: 2 -100 depending on source location



^{232}Th suppression by LAr Ge-anticoinc: (20 cm diameter prototype setup)



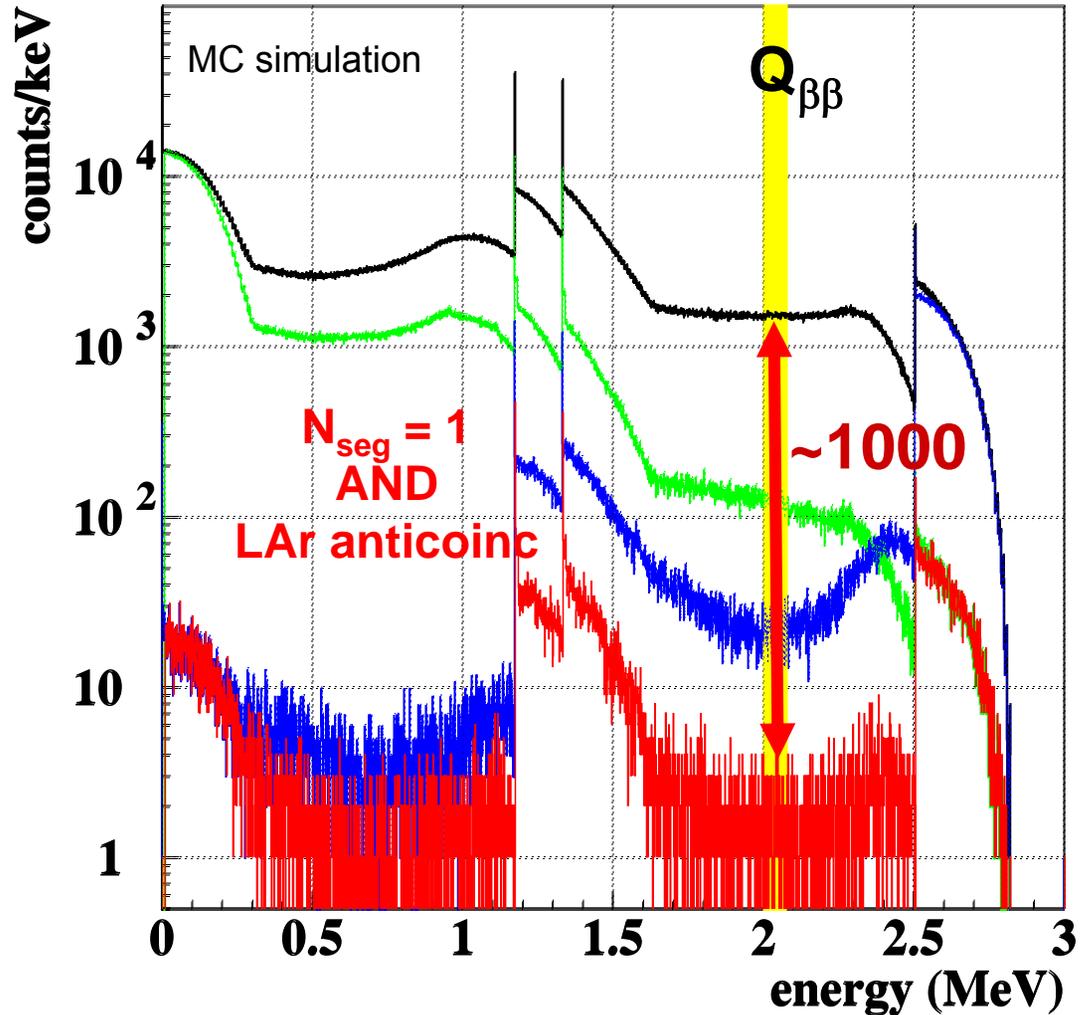
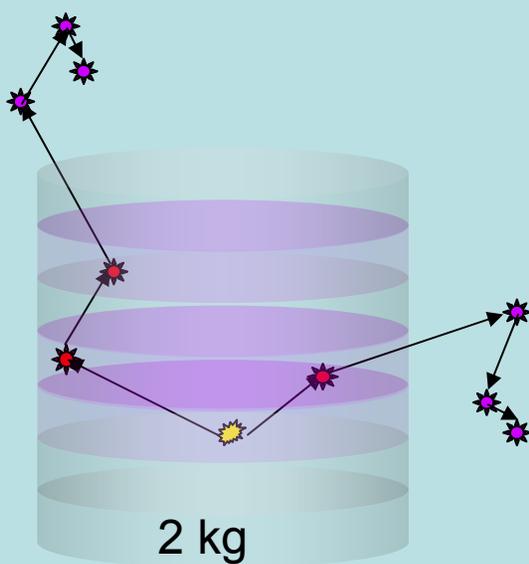
Suppression limited by size of Dewar (20 cm \varnothing)

1 ton liquid argon detector
under construction



^{60}Co : segmentation **and** LAr Ge-anticoinc

Liquid Argon

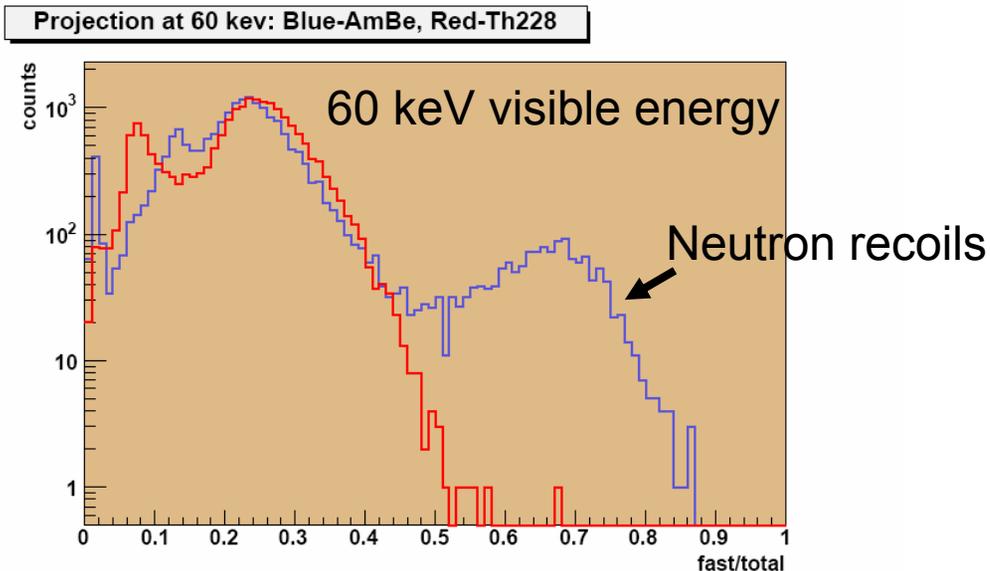




Off-spin of GERDA LAr R&D for DM search

Pulse shape discrimination studies

Data with AmBe n/ γ source



20 kg active LAr target

Liquid Argon for DM
Search
(WARP, ArDM, CLEAN)

Discrimination of Ar-39
background?



Summary & Outlook

- GERDA: probe Majorana nature of neutrino with sensitivity down to inverse mass hierarchy scale

phase I : background 0.01 cts / (kg · keV · y)

- ▶ scrutinize KKDC result within 1 year

phase II : background 0.001 cts / (kg · keV · y)

- ▶ $T_{1/2} > 2 \cdot 10^{26}$ y , $\langle m_{ee} \rangle < 0.09 - 0.29$ eV

phase III : world wide collaboration

- ▶ $T_{1/2} > \sim 10^{28}$ y , $\langle m_{ee} \rangle \sim 10$ meV

- 2007: Experimental installations (Cryotank, water tank, building etc.)
- 2008: target for detector readiness



GERDA collaboration

